

Introductory sub-table (I)(a)

Name of the Company: JAMES WARREN TEA LIMITED			
Scrip Code, Name of the scrip, class of security: - , - [BSE] , Equity			
As on : 14/02/2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
12000804 Shares of Rs.10/- each			

Name of the Company: JAMES WARREN TEA LIMITED

Scrip Code, Name of the scrip, class of security: - , - [BSE] , Equity

As on : 14/02/2014

Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	11	2793039	2793039	23.27	23.27	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	3	1677755	1677755	13.98	13.98	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	14	4470794	4470794	37.25	37.25	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	6	4527005	4527005	37.72	37.72	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	6	4527005	4527005	37.72	37.72	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	20	8997799	8997799	74.98	74.98	0	0.00
(B)	Public shareholding							
(1)	Institutions							
(a)	Mutual Funds/ UTI	1	42	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	6	406	79	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	1	178	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	8	626	79	0.01	0.01	0	0.00

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(B)	Non-institutions							
(a)	Bodies Corporate	174	171306	163894	1.43	1.43	0	0.00
(b)	Individuals							
I	Individual shareholders holding nominal share capital up to Rs 1 lakh	11161	1174915	757776	9.79	9.79	0	0.00
II	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	9	1588227	1553709	13.23	13.23	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(d-i)	Trusts	6	1305	1305	0.01	0.01	0	0.00
(d-ii)	Clearing Member	7	360	360	0.00	0.00	0	0.00
(d-iii)	Non Resident Individual	59	66266	63316	0.55	0.55	0	0.00
	Sub-Total (B)(2)	11416	3002379	2540360	25.02	25.02	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	11424	3003005	2540439	25.02	25.02	0	0.00
	TOTAL (A)+(B)	11444	12000804	11538238	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
2	Public	0	0	0	0.00	0.00	0	
	Sub-Total (C)	0	0	0	0.00	0.00	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	11444	12000804	11538238	100.00	100.00	0.00	0.00

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	VINAY KUMAR GOENKA	1816409	15.14	0.00	0.00	0.00	0.00	0.00	0	0.00	15.14
2	VIVEK GOENKA	738438	6.15	0.00	0.00	0.00	0.00	0.00	0	0.00	6.15
3	VINAY KUMAR GOENKA	188192	1.57	0.00	0.00	0.00	0.00	0.00	0	0.00	1.57
4	ANKIT GOVIND RUIA	24800	0.21	0.00	0.00	0.00	0.00	0.00	0	0.00	0.21
5	AKHIL KUMAR RUIA	24800	0.21	0.00	0.00	0.00	0.00	0.00	0	0.00	0.21
6	DARSHANA SARAF	100	0.00	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00
7	RAJAT AGARWALLA	100	0.00	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00
8	MAULSHREE RUIA	100	0.00	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00
9	VARTIKA AGARWALLA	100	0.00	0.00	0.00	0.00	0.00	0.00	0	0.00	0.00
10	DPIL LIMITED	1227120	10.23	0.00	0.00	0.00	0.00	0.00	0	0.00	10.23
11	MAPLE HOTELS AND RESORTS PVT LTD	371104	3.09	0.00	0.00	0.00	0.00	0.00	0	0.00	3.09
12	SECTRA PLAZA PRIVATE LIMITED	79531	0.66	0.00	0.00	0.00	0.00	0.00	0	0.00	0.66
13	ASHDENE INVESTMENTS LIMITED	1181505	9.85	0.00	0.00	0.00	0.00	0.00	0	0.00	9.85
14	ISIS ENTERPRISES LIMITED	1102785	9.19	0.00	0.00	0.00	0.00	0.00	0	0.00	9.19
15	MAYGROVE INVESTMENTS LIMITED	630106	5.25	0.00	0.00	0.00	0.00	0.00	0	0.00	5.25
16	ENEZ INVESTMENTS LIMITED	551346	4.59	0.00	0.00	0.00	0.00	0.00	0	0.00	4.59
17	WOODCUTTER	535945	4.47	0.00	0.00	0.00	0.00	0.00	0	0.00	4.47
18	MARU	525318	4.38	0.00	0.00	0.00	0.00	0.00	0	0.00	4.38
TOTAL		8997799	74.98	0.00	0.00	0.00	0.00	0.00	0	0.00	74.98

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CHANDRA KUMAR DHANUKA	1416074	11.80	0.00	0.00	0.00	0.00	11.80
	Jh-1 : PRADIP KUMAR KHAITAN							
	Jh-2 : DHARAM PAL JINDAL							
TOTAL		1416074	11.80	0.00	0.00	0.00	0.00	11.80

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CHANDRA KUMAR DHANUKA	1416074	11.80	0.00	0.00	0.00	0.00	11.80
	Jh-1 : PRADIP KUMAR KHAITAN							
	Jh-2 : DHARAM PAL JINDAL							
TOTAL		1416074	11.80	0.00	0.00	0.00	0.00	11.80

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	NIL	0	0.0000	
TOTAL		0	0.0000	

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.0000
TOTAL		0	0	0.0000

JAMES WARREN TEA LIMITED

As on : 14/02/2014

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL		0	0	0.00