



# James Warren Tea Limited

CIN : L15491AS2009PLC009345

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E-mail : investors@jameswarrentea.com, Website : www.jameswarrentea.com

Date: 13.01.2020

<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400 001 <b>Scrip Code : 538564</b>	<b>The Calcutta Stock Exchange Ltd.</b> 7, Lyons Range, Kolkata –700 001. <b>Scrip Code : 020263</b>
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Dear Sir/ Madam,

**Sub: Submission of Shareholding Pattern for the quarter ended December 31, 2019, as per Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed the Shareholding Pattern of the Company for the quarter ended on December 31, 2019, as per Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

**For James Warren Tea Limited**

  
**Pooja Jaiswal**  
**Company Secretary**  
**& Compliance Officer**



Encl: As above

**Format of holding of specified securities**

1. Name of Listed Entity: JAMES WARREN TEA LIMITED (CIN:)
2. Scrip Code - Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2019
  - b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 31/12/2019

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	4	5231724	0	0	5231724	74.9623	5231724	0	5231724	74.9623	0	74.9623	0	0.0000	0	0.0000	5231724
(B)	Public	8015	1747418	0	0	1747418	25.0377	1747418	0	1747418	25.0377	0	25.0377	0	0.0000	NA		1466771
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	8019	6979142	0	0	6979142	100.0000	6979142	0	6979142	100.0000	0	100.0000	0				6698495



JAMES WARREN TEA LIMITED

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2019

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)	
								Class x	Class y	Total									
(1) Indian																			
(a) Individuals/Hindu undivided Family																			
(b) Central Government/State Government(s)																			
(c) Financial Institutions/Banks																			
(d) Any Other (specify)																			
Sub Total			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																			
(b) Government																			
(c) Institutions																			
(d) Foreign Portfolio Investor																			
(e) Any Other (specify)																			
Bodies Corporate			4	5231724	0	0	5231724	74.9623	5231724	0	5231724	74.9623	0	74.9623	0	0.0000	0	0.0000	5231724
ASHDENE INVESTMENTS LIMITED	AAKCA9119M		1	1836544	0	0	1836544	26.3148	1836544	0	1836544	26.3148	0	26.3148	0	0.0000	0	0.0000	1836544
ISIS ENTERPRISES LIMITED	AADCIO286Q		1	1629303	0	0	1629303	23.3453	1629303	0	1629303	23.3453	0	23.3453	0	0.0000	0	0.0000	1629303
MAYGROVE INVESTMENTS LIMITED	AAICM2208D		1	971665	0	0	971665	13.9224	971665	0	971665	13.9224	0	13.9224	0	0.0000	0	0.0000	971665
ENEZ INVESTMENTS LIMITED	AADCE1499D		1	794212	0	0	794212	11.3798	794212	0	794212	11.3798	0	11.3798	0	0.0000	0	0.0000	794212
Sub Total			4	5231724	0	0	5231724	74.9623	5231724	0	5231724	74.9623	0	74.9623	0	0.0000	0	0.0000	5231724
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			4	5231724	0	0	5231724	74.9623	5231724	0	5231724	74.9623	0	74.9623	0	0.0000	0	0.0000	5231724



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks		5	257	0	0	257	0.0037	257	0	257	0.0037	0	0.0037	0	0.0000	NA		79
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		5	257	0	0	257	0.0037	257	0	257	0.0037	0	0.0037	0	0.0000	NA		79
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs 2 lakhs		7834	1011397	0	0	1011397	14.4917	1011397	0	1011397	14.4917	0	14.4917	0	0.0000	NA		772498
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		4	117932	0	0	117932	1.6898	117932	0	117932	1.6898	0	1.6898	0	0.0000	NA		83414
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Bodies Corporate		100	601912	0	0	601912	8.6244	601912	0	601912	8.6244	0	8.6244	0	0.0000	NA		597538
	LUXMI TOWNSHIP LIMITED	AAACL5480C	1	217171	0	0	217171	3.1117	217171	0	217171	3.1117	0	3.1117	0	0.0000	NA		217171
	DHANLUXMI TEXTILES LIMITED	AABCD1224F	1	98269	0	0	98269	1.4080	98269	0	98269	1.4080	0	1.4080	0	0.0000	NA		98269
	Clearing Member		5	176	0	0	176	0.0025	176	0	176	0.0025	0	0.0025	0	0.0000	NA		176



JAMES WARREN TEA LIMITED																			
Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	No of Voting Rights					No. (Not applicable) (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
									Class y										
Non Resident Individual		64	15285	0	0	15285	0.2190	15285	0	15285	0.2190	0	0	0.0000	NA		12607		
Trusts		2	357	0	0	357	0.0051	357	0	357	0.0051	0	0	0.0000	NA		357		
Foreign National		1	102	0	0	102	0.0015	102	0	102	0.0015	0	0	0.0000	NA		102		
Sub Total		8010	1747161	0	0	1747161	25.0340	1747161	0	1747161	25.0340	0	0	0.0000	NA		1466692		
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8015	1747418	0	0	1747418	25.0377	1747418	0	1747418	25.0377	0	0	0.0000	NA		1466771		



Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2019

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights						No. (Not applicable) (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)	
								Class x	Class y	Total								
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



Table V - Statement showing details of significant beneficial owners as on 31/12/2019

Details of the (SBO)		Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest	
(I)		(II)				Whether by virtue of: (III)					(IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ANIL KUMAR RUIA	ALTPT701E	United King	ASHDENE INVESTMENTS LIMITED	AAKCA9119M	United Kingdo	26.3148	26.3148		YES	YES	14/02/2014
2	ANIL KUMAR RUIA	ALTPT701E	United King	ISIS ENTERPRISES LIMITED	AADC10286Q	United Kingdo	23.3453	23.3453		YES	YES	14/02/2014
3	ANIL KUMAR RUIA	ALTPT701E	United King	MAYGROVE INVESTMENTS LIMITED	AAICM2208D	United Kingdo	13.9224	13.9224		YES	YES	14/02/2014
4	ANIL KUMAR RUIA	ALTPT701E	United King	ENEZ INVESTMENTS LIMITED	AADCE1499D	United Kingdo	11.3798	11.3798		YES	YES	14/02/2014

