

Format of holding of specified securities

1. Name of Listed Entity: JAMES WARREN TEA LIMITED (CIN:L15491AS2009PLC009345)

2. Scrip Code - Name of Scrip -,- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



JAMES WARREN TEA LIMITED

Table I - Summary Statement holding of specified securities as on 31/12/2016

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	17	8997799	0	0	8997799	74.9766	8997799	0	8997799	74.9766	0	74.9766	0	0.0000	0	0.0000	8997799
(B)	Public	9291	3003005	0	0	3003005	25.0234	3003005	0	3003005	25.0234	0	25.0234	0	0.0000	NA		2663844
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	9308	12000804	0	0	12000804	100.0000	12000804	0	12000804	100.0000	0	100.0000	0				11661643



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2016

(1)	Category & Name of the Shareholders (I)	PAN	(II)	Nos of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										Class x	Class y	Total		Total as a % of Total Voting rights (X)	No. (a)	As a % of total shares held (b)	No. (a)	
(a)	Indian Individuals/Hindu undivided Family			9	2793039	0	0	2793039	23.2738	2793039	0	2793039	23.2738	0	0.0000	0	0.0000	2793039
	VINAY KUMAR GOENKA	AEGPG7724R		1	1816409	0	0	1816409	15.1357	1816409	0	1816409	15.1357	0	0.0000	0	0.0000	1816409
	VIVEK GOENKA	AFEPG8673E		1	738438	0	0	738438	6.1532	738438	0	738438	6.1532	0	0.0000	0	0.0000	738438
	VINAY KUMAR GOENKA	AABHV7185E		1	188192	0	0	188192	1.5682	188192	0	188192	1.5682	0	0.0000	0	0.0000	188192
	AKHIL KUMAR RUIA	BDPPR7921C		1	24800	0	0	24800	0.2067	24800	0	24800	0.2067	0	0.0000	0	0.0000	24800
	ANKIT GOVIND RUIA	BFZPR5567N		1	24800	0	0	24800	0.2067	24800	0	24800	0.2067	0	0.0000	0	0.0000	24800
	VARTIKA AGARWALLA	ALQPS6812F		1	100	0	0	100	0.0008	100	0	100	0.0008	0	0.0000	0	0.0000	100
	DARSHANA SARAF	ALMPS8673E		1	100	0	0	100	0.0008	100	0	100	0.0008	0	0.0000	0	0.0000	100
	MAULSHREE RUIA	ACPPM8177R		1	100	0	0	100	0.0008	100	0	100	0.0008	0	0.0000	0	0.0000	100
	RAJAT AGARWALLA	ADCPA8588H		1	100	0	0	100	0.0008	100	0	100	0.0008	0	0.0000	0	0.0000	100
(b)	Central Government/State Government(s)																	
(c)	Financial Institutions/Banks																	
(d)	Any Other (specify)																	
	Bodies Corporate			2	1677755	0	0	1677755	13.9804	1677755	0	1677755	13.9804	0	0.0000	0	0.0000	1677755
	MAPLE HOTELS & RESORTS LTD	AACCM5635L		1	1598224	0	0	1598224	13.3176	1598224	0	1598224	13.3176	0	0.0000	0	0.0000	1598224
	SECTRA PLAZA PRIVATE LIMITED	AADCS5432Q		1	79531	0	0	79531	0.6627	79531	0	79531	0.6627	0	0.0000	0	0.0000	79531
	Sub Total			11	4470794	0	0	4470794	37.2541	4470794	0	4470794	37.2541	0	0.0000	0	0.0000	4470794
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																	
(a)	Government																	
(c)	Institutions																	
(d)	Foreign Portfolio Investor																	
(e)	Any Other (specify)																	
	Bodies Corporate			6	4527005	0	0	4527005	37.7225	4527005	0	4527005	37.7225	0	0.0000	0	0.0000	4527005
	ASHDENE INVESTMENTS LIMITED	AAZGA9119M		1	1181505	0	0	1181505	9.8452	1181505	0	1181505	9.8452	0	0.0000	0	0.0000	1181505
	ISIS ENTERPRISES LIMITED	AADCI0286Q		1	1102785	0	0	1102785	9.1893	1102785	0	1102785	9.1893	0	0.0000	0	0.0000	1102785
	MAYGROVE INVESTMENTS LIMITED	AAICM2208D		1	630106	0	0	630106	5.2505	630106	0	630106	5.2505	0	0.0000	0	0.0000	630106
	ENEZ INVESTMENTS LIMITED	AADCE1499D		1	551346	0	0	551346	4.5942	551346	0	551346	4.5942	0	0.0000	0	0.0000	551346
	WOODCUTTER	AABCW3045R		1	535945	0	0	535945	4.4659	535945	0	535945	4.4659	0	0.0000	0	0.0000	535945
	MARU	AAICM0743R		1	525318	0	0	525318	4.3774	525318	0	525318	4.3774	0	0.0000	0	0.0000	525318
	Sub Total			6	4527005	0	0	4527005	37.7225	4527005	0	4527005	37.7225	0	0.0000	0	0.0000	4527005
	Total Shareholding of Promoter and Promoter Group (A)=(1)+(2)			17	8997799	0	0	8997799	74.9766	8997799	0	8997799	74.9766	0	0.0000	0	0.0000	8997799



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2016

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights						No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks		7	584	0	0	584	0.0049	584	0	584	0.0049	0	0.0049	0	0.0000	NA		257
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		7	584	0	0	584	0.0049	584	0	584	0.0049	0	0.0049	0	0.0000	NA		257
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		9054	1135636	0	0	1135636	9.4630	1135636	0	1135636	9.4630	0	9.4630	0	0.0000	NA		840289
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		4	1512054	0	0	1512054	12.5996	1512054	0	1512054	12.5996	0	12.5996	0	0.0000	NA		1477536
	CHANDRA KUMAR DHANUKA	ADGPD0857K	1	1416074	0	0	1416074	11.7998	1416074	0	1416074	11.7998	0	11.7998	0	0.0000	NA		1416074
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Bodies Corporate		143	205764	0	0	205764	1.7146	205764	0	205764	1.7146	0	1.7146	0	0.0000	NA		199575
	Clearing Member		15	1963	0	0	1963	0.0164	1963	0	1963	0.0164	0	0.0164	0	0.0000	NA		1963



Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
Non Resident Individual		59	63976	0	0	63976	0.5331	63976	0	63976	0.5331	0	0.5331	0	0.0000	NA	61196	
Domestic Corporate Unclaimed Shares Account		1	81591	0	0	81591	0.6799	81591	0	81591	0.6799	0	0.6799	0	0.0000	NA	81591	
Trusts		8	1437	0	0	1437	0.0120	1437	0	1437	0.0120	0	0.0120	0	0.0000	NA	1437	
Sub Total		9284	3002421	0	0	3002421	25.0185	3002421	0	3002421	25.0185	0	25.0185	0	0.0000	NA	2663587	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		9291	3003005	0	0	3003005	25.0234	3003005	0	3003005	25.0234	0	25.0234	0	0.0000	NA	2663844	



JAMES WARREN TEA LIMITED

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2016

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights		No of shares Underlying outstanding convertible securities (including Warrants) (X)	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)
								Class x	Class y	Total								
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

